

FOR PTO-1390 (Modified) (REV 5-93)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				035394-0265	
				U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) 10/508,781	
INTERNATIONAL APPLICATION NO. PCT/US03/10489		INTERNATIONAL FILING DATE 04/07/2003		PRIORITY DATE CLAIMED 04/08/2002	
TITLE OF INVENTION SERUM BIOMARKERS IN HEPATOCELLULAR CARCINOMA					
APPLICANT(S) FOR DO/EO/US Tai-Tung YIP, Terence C.W. POON, Philip JOHNSON, Victor F. YIP, Christine L. YIP and Anthony T.C. CHAN					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
1.	<input type="checkbox"/>	This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.			
2.	<input checked="" type="checkbox"/>	This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.			
3.	<input type="checkbox"/>	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.			
4.	<input type="checkbox"/>	The US has been elected (Article 31).			
5.	<input type="checkbox"/>	A copy of the International Application as filed (35 U.S.C. 371(c)(2))			
	<input type="checkbox"/>	is attached hereto (required only if not communicated by the International Bureau).			
	<input type="checkbox"/>	has been communicated by the International Bureau.			
	<input type="checkbox"/>	is not required, as the application was filed in the United States Receiving Office (RO/US)			
6.	<input type="checkbox"/>	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).			
	<input type="checkbox"/>	is attached hereto.			
	<input type="checkbox"/>	has been previously submitted under 35 U.S.C. 154(d)(4).			
7.	<input type="checkbox"/>	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))			
	<input type="checkbox"/>	are attached hereto (required only if not transmitted by the International Bureau).			
	<input type="checkbox"/>	have been communicated by the International Bureau.			
	<input type="checkbox"/>	have not been made; however, the time limit for making such amendments has NOT expired.			
	<input type="checkbox"/>	have not been made and will not be made.			
8.	<input type="checkbox"/>	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).			
9.	<input checked="" type="checkbox"/>	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).			
10.	<input type="checkbox"/>	An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).			
Items 11 to 20 below concern other document(s) or information included:					
11.	<input type="checkbox"/>	An Information Disclosure Statement under 37 CFR 1.97 and 1.98.			
12.	<input type="checkbox"/>	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.			
13.	<input type="checkbox"/>	A preliminary amendment.			
14.	<input checked="" type="checkbox"/>	A Supplemental Application Data Sheet under 37 CFR 1.76.			
15.	<input type="checkbox"/>	A substitute specification.			
16.	<input checked="" type="checkbox"/>	A power of attorney and/or change of address letter.			
17.	<input type="checkbox"/>	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825			
18.	<input type="checkbox"/>	A second copy of the published international application under 35 U.S.C. 154(d)(4).			
19.	<input type="checkbox"/>	A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).			
20.	<input checked="" type="checkbox"/>	Other items or information: Copy of Notification of Missing Parts; Statement Under 37 CFR 3.73(b) w/copy of Assignment to Gphergen Biosystems Inc.; Statement Under 37 CFR 3.73(b) w/copy of Assignment to the Chinese to the Chinese University of Hong Kong; Petition for Extension of Time; Change of Correspondence Address.			

37 C.F.R. 1.50 10/508,781		INTERNATIONAL APPLICATION NO. PCT/US03/10489		ATTORNEY'S DOCKET NUMBER 035394-0265	
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21. <input type="checkbox"/> The following fees are submitted:				CALCULATIONS	PTO USE ONLY
<b>BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):</b>					
Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or IPO ..... \$1,080.00					
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or IPO ..... \$920.00					
No international preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$770.00					
International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$730.00					
International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00					
<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>				\$ 0.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e))				\$ 0.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total Claims	14 - 20 =	0	x \$ 18.00	\$ 0.00	
Independent Claims	4 - 3 =	1	x \$ 86.00	\$ 0.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ \$ 290.00	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$ 0.00	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				+ \$ 0.00	
<b>SUBTOTAL =</b>				\$ 0.00	
Processing fee of 130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
<b>TOTAL NATIONAL FEE =</b>				\$ 0.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). 40.00 per property				\$	
<b>TOTAL FEES ENCLOSED =</b>				\$ 0.00	
				Amount to be refunded:	
				charged:	


  

a. ☐ A check in the amount of \$ 0.00 to cover the above fees is enclosed.  
 b. ☐ Please charge my Deposit Account No. 19-0741 in the amount of 0.00 to cover the above fees.  
 A duplicate copy of this sheet is enclosed.  
 c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No.  
19-0741. A duplicate copy of this sheet is enclosed.  
 d. ☐ Fees are to be charge to a credit card. **WARNING:** Information on this form may become public. Credit card  
 information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR  
 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Foley & Lardner LLP  
Customer Number: 22428

  
 SIGNATURE  
 Stephen A. Bent  
 NAME  
 29,768  
 REGISTRATION NUMBER  
 Date: September 19, 2005



## UNITED STATES PATENT AND TRADEMARK OFFICE

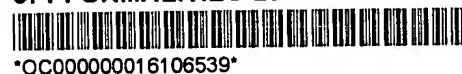
UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/508,781	Tai-Tung Yip	035394-0265

22428  
 FOLEY AND LARDNER  
 SUITE 500  
 3000 K STREET NW  
 WASHINGTON, DC 20007

INTERNATIONAL APPLICATION NO.	
PCT/US03/10489	
I.A. FILING DATE	PRIORITY DATE
04/07/2003	04/08/2002

CONFIRMATION NO. 8494  
 371 FORMALITIES LETTER



\*OC000000016106539\*

Date Mailed: 05/25/2005

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 09/23/2004
- Copy of the International Search Report filed on 09/23/2004
- Information Disclosure Statements filed on 09/23/2004
- Small Entity Statement filed on 09/23/2004
- Copy of references cited in ISR filed on 09/23/2004
- U.S. Basic National Fees filed on 09/23/2004

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

**BEST AVAILABLE COPY**

DEBORAH D WILLIAMS

Telephone: (703) 308-9140 EXT 205

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/508,781	PCT/US03/10489	035394-0265

FORM PCT/DO/EO/905 (371 Formalities Notice)

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